



ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18

Stylesheet Version v18.0

Title of Invention	Computer User Interface Architecture Wherein Both Content and User Interface are Composed of Documents with Links
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Application Number: 09/457109



Confirmation Number: 7310

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First Named Applicant: Darryl Rubin

MAY 19 2004

Attorney Docket Number: 003797.81487

Technology Center 2100

Art Unit: 2176

Examiner: M Nguyen

Search string: (6076917 or 6154771 or 6437793).pn.

Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
MK	1	6076917	2000-06-20	Wen			
MK	2	6154771	2000-11-28	Rangan et al.			
MIC	3	6437793	2002-08-20	Kaasila			

Remarks

Note: Remarks are not for responding to an office action.

In accordance with Applicants' duty of disclosure, the following information is submitted for consideration by the United States Patent and Trademark Office in connection with

the above-captioned application. The information is identified on the attached PTO 1449 form. Applicants do not waive any right to take appropriate action to establish patentability over the listed documents should they be applied as references against the claims of the present application. It is respectfully requested that the Examiner fully consider each of the documents, initial the enclosed Form PTO-1449 in the appropriate place to indicate that the document has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609. The undersigned certifies under 37 CFR 1.97(e)(2) that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. The references contained in this information disclosure statement were cited by the Examiner in a related application. Applicants believe that no fee is necessary pursuant to 37 CFR 1.97(c). However, if a fee is due, the Office is authorized to charge Deposit Account No. 19-0733.

Signature

Examiner Name	Date
<i>Malchankar, Ven</i>	05/17/05

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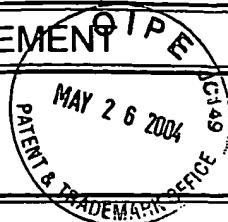
MAY 19 2004

Technology Center 2100

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Title of Invention	Computer User Interface Architecture Wherein Both Content and User Interface are Composed of Documents with Links
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Application Number: 09/457109 

Confirmation Number: 7310

First Named Applicant: Darryl Rubin

Attorney Docket Number: 003797.81487

Art Unit: 2176

Examiner: M Nguyen

Search string: (5822720).pn.

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JUN 01 2004

Technology Center 2100

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US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
MK	1	5822720	1998-10-13	Bookman et al.			

Remarks

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undersigned in accordance with MPEP Section 609. The undersigned certifies under 37 CFR 1.97(e)(2) that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. The references contained in this information disclosure statement were cited by the Examiner in a related application. Applicants believe that no fee is necessary pursuant to 37 CFR 1.97(c). However, if a fee is due, the Office is authorized to charge Deposit Account No. 19-0733.

Signature

Examiner Name	Date
<i>Muthukrishnan</i>	05/17/05

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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for Form 1449A/PTO:

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1 of 1

Complete if Known

Application Number	09/457,109
Filing Date	12/07/1999
First Named Inventor	Darryl Rubin et al.
Group Art Unit	2176
Examiner Name	Nguyen, M.
Attorney Docket Number	003797.81487

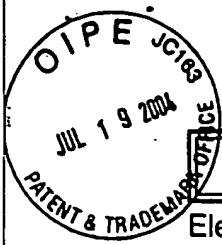
U.S. PATENT DOCUMENTS					
Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			

FOREIGN PATENT DOCUMENTS					
Examiner Initials *	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)			

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
MJC		"Adobe Acrobat 3.0 Reader Online Guide", Adobe Systems, Inc., pages 1-110			
MK		KUNIKAZU, T., Patent Abstracts of Japan, Publication No. 11327789 for Color Display and Electronic Blackboard System, published 11/30/1999			
MJC		Open eBook Forum, Open eBook™ Publication Structure 1.0, http://www.ebxwq.org/oebps/oebps1.0/download/oeb1-oebps.htm			
MJC		KRISTENSEN, A., "Formsheets and the XML Forms Language" (March 1999)			
MJC		Dublin Core Resource Types: Structurality DRAFT: July 24, 1997, (February 27, 1998)			
MJC		Dublin Core Metadata Element Set, Version 1.1: Reference Description (1999)			
MJC		Dublin Core Metadata Initiative: User Guide Working Draft 1998-07-31 (1999)			

Examiner Signature	<i>Mauchanlung.cucen</i>	Date Considered	05/17/05
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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Application Number: 09/457109 

Confirmation Number: 7310

First Named Applicant: Darryl Rubin

Attorney Docket Number: 003797.81487

Art Unit: 2176

Examiner: M Nguyen

Search string: (6144991).pn.

Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

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US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
MIS	1	6144991	2000-11-07	England			

Remarks

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Signature

Examiner Name	Date
<i>Maulchanh Nguyen</i>	05/17/05

09/15/04

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Title of Invention	Computer User Interface Architecture Wherein Both Content and User Interface are Composed of Documents with Links							
Application Number :	09/457109							
Confirmation Number:	7310							
First Named Applicant:	Darryl Rubin							
Attorney Docket Number:	003797.81487							
Art Unit:	2176							
Examiner:	Maikhahan Nguyen							
Search string:			(5630125 or 5689717 or 5838313 or 5862395 or 5933139 or 6133925 or 6184886 or 6211871 or 6425525 or 6484156 or 6529920 or 6571211 or 6760884 or 20010031128).pn					
<u>Certification:</u> This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:								
<p>That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.</p>								
US Patent Documents								
Note: Applicant is not required to submit a paper copy of cited US Patent Documents								
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass	
MK	1	5630125	1997-05-13	Zellweger				
MK	2	5689717	1997-11-18	Pritt				
MK	3	5838313	1998-11-17	Hou et al.				
MK	4	5862395	1999-01-19	Bier				
MK	5	5933139	1999-08-03	Feigner et al.				
MK	6	6133925	2000-10-17	Jaremko et al.				
MIC	7	6184886	2001-02-06	Bates et al.				
MK	8	6211871	2001-04-03	Himmel et al.				
MIC	9	6425525	2002-07-30	Swaminathan et al.				
MIC	10	6484156	2002-11-19	Gupta et al.				
MIC	11	6529920	2003-03-04	Arons et al.				
MIC	12	6571211	2003-05-27	Dwyer et al.				
MK	13	6760884	2004-07-06	Vertelney et al.				

US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
Mk	1	20010031128	2001-10-18	Manbeck			

Remarks

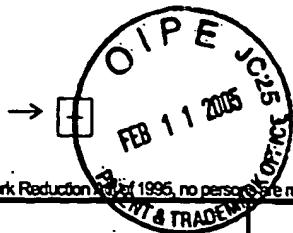
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Signature

Examiner Name	Date
Mark Hanquizar	05/17/05

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PTO/SB/08A (08-00)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of 1

Complete If Known

Application Number	09/457,109
Filing Date	12/7/1999
First Named Inventor	Darryl Rubin et al.
Group Art Unit	2176
Examiner Name	Maikhahan Nguyen
Attorney Docket Number	003797.81487

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ³ (if known)			
MK		5,826,025		Gramlich	10/1998	
MIC		5,982,370		Kamper	11/9/99	
MK		6,714,214		DeMello	3/2004	
MK		5,761,485		Munyan	6/1998	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
MIC		EP	0342838	A	IBM	11/23/89		X

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
MIC		Marshall, C., "Annotation from paper books to the digital library," ACM International Conf. on Digital Libraries, 1997, pp. 131-140	
MIC		"Joke eBook," 7/1998, PrimaSoft PC, Inc., Electronic Book Series Version 1.0, pp. 1-5	
MK		Munyan, D., "Everybook, Inc: Developing the E-Book in Relation to Publishing Standards," 09/1998, http://www.futureprint.kent.edu/articles/munyan01.htm , pp. 1-7	

Examiner Signature	<i>Maikhahan Nguyen</i>	Date Considered	05/17/05
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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of 2

INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete If Known	
		<i>Application Number</i>	10/457,109
		<i>Filing Date</i>	12/07/1999
		<i>First Named Inventor</i>	Darryl E. Rubin et al.
		<i>Art Unit</i>	2176
		<i>Examiner Name</i>	Nguyen, Maikhanh
		<i>Attorney Docket Number</i>	003797.81487
Sheet	1	of	2

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature	<u>Mark Hankins</u>	Date Considered	05/17/05
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PTO/SB/08b(08-03)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

of 2

<i>Complete if Known</i>	
<i>Application Number</i>	09/457,109
<i>Filing Date</i>	12/07/1999
<i>First Named Inventor</i>	Darryl E. Rubin et al.
<i>Art Unit</i>	2176
<i>Examiner Name</i>	Nguyen, Maikhanh
<i>Attorney Docket Number</i>	003797.81487

NON-PATENT LITERATURE DOCUMENTS

**Examiner
Signature**

Monkhanh Nguyen

**Date
Considered**

05/17/05

***EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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